

For all enquiries relating to this agenda please contact Amy Dredge
(Tel: 01443 863100 Email: dredge@caerphilly.gov.uk)

Date: 21st January 2020

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held in the **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach** on **Tuesday, 28th January, 2020** at **2.00 pm** to consider the matters contained in the following agenda. Councillors and the public wishing to speak on any item can do so by making a request to the Chair. You are also welcome to use Welsh at the meeting, both these requests require a minimum notice period of 3 working days, and a simultaneous translation will be provided if requested.

All Committee meetings are open to the Press and Public, observers and participants are asked to conduct themselves with respect and consideration for others. Please note that failure to do so will result in you being asked to leave the meetings and you may be escorted from the premises.

Yours faithfully,



Christina HARRY
INTERIM CHIEF EXECUTIVE

A G E N D A

Pages

- 1 To receive apologies for absence.
- 2 Declarations of Interest.

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

A greener place Man gwyrddach



To approve and sign the following minutes: -

- 3 Audit Committee held on the 15th October 2019. 1 - 6

To receive and consider the following reports: -

- 4 Presentation from the Pension Fund Actuary.
- 5 Audit Committee Forward Work Programme for 2020. 7 - 10
- 6 Certification of Grants and Returns 2018-19. 11 - 22
- 7 Regulator Proposals for Improvement Progress Update. 23 - 32
- 8 Corporate Risk Register (Q2 2019/20). 33 - 42

To receive and note the following information items:-

- 9 Regulation of Investigatory Powers Act 2000. 43 - 46
- 10 Annual Audit Letter - Caerphilly County Borough Council 2018-19. 47 - 50
- 11 Caerphilly Certificate of Compliance - Audit of Caerphilly County Borough Councils' Assessment of 2018-19 Performance. 51 - 52
- 12 Officers Declarations of Gifts and Hospitality. 53 - 58
- 13 Six monthly update on the number of Complaints received under the Council's Corporate Complaints Policy. 59 - 66
- 14 Corporate Governance Review Panel Minutes - 24th September 2019. 67 - 68
- 15 Corporate Safeguarding Review Report. 69 - 106

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Amy Dredge, 01443 863100, by 10.00 a.m. on Monday 27th January 2020.*

Circulation:

Councillors M.A. Adams, Mrs E.M. Aldworth, J. Bevan, A. Gair, Ms J. Gale, D.T. Hardacre, C.P. Mann, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds and J. Simmonds

Lay Member – Mr N.D. Yates (Vice Chair)

Auditors –Ms N. Jenkins (Wales Audit Office), Ms G. Hawkins (Grant Thornton UK LLP) and Mr B. Morris (Grant Thornton UK LLP)

And Appropriate Officers.

HOW WE WILL USE YOUR INFORMATION

Those individuals that attend committee meetings to speak/give evidence will be named in the minutes of that meeting, sometimes this will include their place of employment or business and opinions expressed. Minutes of Meetings including details of speakers will be publicly available to all via the Council website at www.caerphilly.gov.uk. except for discussions involving confidential or exempt items.

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